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Sighart F. Fischer · P. O. J. Scherer

Charge separation in photosynthesis via a spin exchange coupling mechanism

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Abstract A new mechanism for the primary photoinduced charge separation in photosynthesis is proposed. It involves as a real intermediate between the excited special pair state P^* and the primary charge separated state $P^+H_L^-$ a trip-trip-singlet $B^TB_L^T$, which consists of a triplet on the dimer P and a further triplet on the monomer B_L . Both combine to a singlet. The electron transfer is caused by spin exchange couplings. The transient spectrum of the short lived intermediate, formerly taken as evidence for the charge transfer state $P^+B_L^-$, is reinterpreted as a transient excitation of this trip-trip singlet.

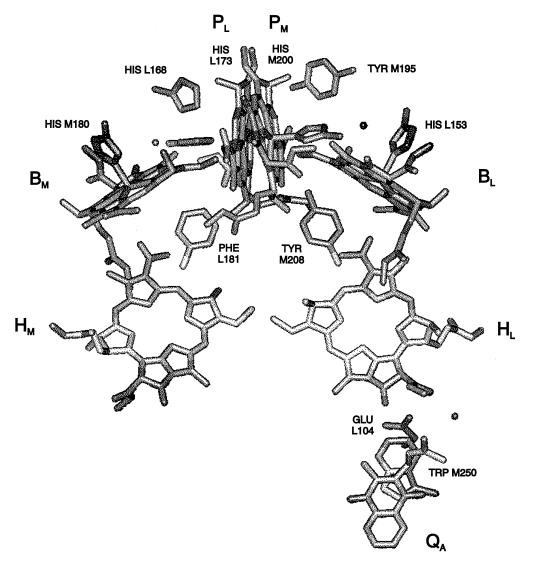
Key words Photosynthesis \cdot Electron transfer \cdot Fission \cdot Double excited states

I Introduction

Photosynthetic systems convert photon energy into electrostatic energy within a few picoseconds with great efficiency. The initial charge separation takes place in a reaction center. Its basic structure (Deisenhofer et al. 1984) (Fig. 1) seems to be preserved among all photosynthetic systems. Six pigments are arranged in an approximate C₂ symmetry forming an L and an M branch. Two make the special pair dimer. Two referred to as monomers are linked to it within van der Waals contact followed by two further pigments both being close to one of the monomers. The one on the L branch acts as the initial acceptor. In the special case of Rps. viridis, on which we want to base our calculations, the dimer P consists of two bacteriochlorophylls P_L and P_M noncovalently bound via π -orbital interactions of their pyrrol rings I. The monomers are two bacteriochlorophylls denoted B_L and B_M. They point towards the rings I of the dimer P with their rings III. The rings I of B_I and B_M face the corresponding rings I of the bacteriopheophytines H_L and H_M respectively. We will see that these basic structural features are essential to bring the energy location of the trip-trip singlet P^T B_I below that of the initially excited dimer state P*. Furthermore we show that the spin exchange couplings are particularly favorable for this arrangement. This is not so for the commonly postulated intermediate charge transfer state P⁺ B_L (Fischer and Scherer 1987; Scherer and Fischer 1989 a, b; Holzapfel et al. 1990; Scherer 1989). We predict it to lie above P* by about 0.5 eV. Our newly proposed intermediate PT Bt differs from the CT state $\hat{P}^+ \; \hat{B}_L^-$ by a compensating charge transfer excitation from B_L to P so that two triplets are created which combine to a singlet. Coulomb and exchange energies overcompensate in this case the orbital excitation energy to bring the resulting trip-trip singlet $P^T B_L^T$ below the CT state P⁺ B_I⁻ close to P*. The couplings between $P^{T}B_{L}^{T}$ to P^{*} and to $P^{+}H_{L}^{-}$ involve two electron transfer overlaps, which differ from the Dexter exchange couplings relevant for excitation energy transfer only in the partitioning of different orbitals. The overall tunneling process from P* to P+ H_L can be looked upon as an electron assisted tunneling in the sense that one electron from the HOMO of B_L is moved to the LUMO of P and back once the transferred electron has passed B_L. This way the effective tunneling barrier is lowered (Fig. 2).

In order to check the relevance of this mechanism almost all experiments related to the charge separation, to recombination and to their magnetic and electric field dependence have to be reinterpreted. Particularly informative are changes in the kinetics due to mutations or chemical modifications of the pigments. In this paper we shall discuss briefly those cases, which have led to unexpected results within the standard model with P^+ B_L^- as intermediate. Of course the energetics of the trip-trip singlet P^T B_L^T are sensitive to changes in a different way than those of P^+ B_L^- . Crudely speaking it is only weakly dependent on changes of the electrostatic potentials but more sensitive to local distortions of the pigment's structure. We will show that these characteristics of our model help to interpret the experimental findings including double mutants under a

Fig. 1 The quantum chemically treated part of the reaction center Rps. viridis is shown. It consists of the four bacteriochlorophylls B_M , P_L , P_M and B_L , the two bacteriopheophytines H_M and H_L , the quinon Q_A and several protein residues which are in close contact



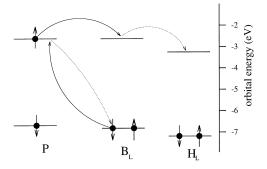


Fig. 2 The electron assisted electron transfer mechanism is visualized. The *solid arrows* refer to the initial presumably slower (3.5 ps) fission process $P^* \to P^T \ B_L^T$, which invokes a two electron exchange between P and B_L . The *dashed arrows* give the second faster (0.65 ps) charge separating step as a simultaneous transfer of an electron from B_L to H_L and one from P to B_L . The orbital energies refer to the neutral ground state of the hexamer from Fig. 1

new perspective without multiparameter adjustments (Bixon et al. 1996).

II Energetics of charge transfer states

In an attempt to interrelate structure and function for the reaction center it is instructive to analyze the energetics of the low lying charge transfer states with regard to the following five contributions:

- a) the local structure of the isolated pigments, which is used for the calculation of the ionization potentials and the electron affinities,
- b) the Coulomb interactions between the prosthetic groups, which are induced by a charge transfer transition,
- c) the polarization effects of these pigments which are due to charge reorganization under the influence of the induced Coulomb forces,

- d) the electrostatic polarization effects of those residues and water molecules which are in close proximity to the prosthetic groups,
- e) the long range electrostatic effects resulting from polar groups of the protein.

In Fig. 1 those molecules are shown which are treated quantum mechanically. To get the local structural effects (a) the ionization potentials (IP) and the electron affinities (EA) were evaluated for the six isolated pigments $P_{\rm M}$, $P_{\rm L}$, $B_{\rm M}$, $B_{\rm L}$, $H_{\rm M}$ and $H_{\rm L}$. We used a semiempirical MO program of the INDO type with parametrization similar to Zerner's ZINDO method (Thompson and Zerner 1990) with configuration interaction (Scherer and Fischer 1990) including up to half a million states. The structure of Rps. viridis was taken from the protein data bank. The positions of the hydrogen atoms were optimized with the help of an MNDO program. The charge transfer (CT) induced Coulomb interactions (b) between a donor D and an acceptor A and the polar surrounding molecules M have three contributions

$$\begin{split} E_{c} &= E_{c} (D^{+}, A^{-}, M) - E_{c} (D, A, M) \\ &= \sum_{\substack{i \in D \\ j \in A}} \frac{Dq_{i} Dq_{j}}{r_{ij}} + \sum_{\substack{M \neq D, A}} \sum_{\substack{i \in M \\ j \in D}} \frac{q_{i} Dq_{j}}{r_{ij}} + \sum_{\substack{M \neq D, A}} \sum_{\substack{i \in M \\ j \in A}} \frac{q_{i} Dq_{j}}{r_{ij}} \\ &= E_{c} (D^{+}, A^{-}) + E_{c} (D^{+}, M) + E_{c} (A^{-}, M) \end{split}$$

While the first term stands for the common point monopole interactions of the CT induced charges between the donor D and acceptor A only, the other two account for the induced interactions of the donor and the acceptor with the other molecules M respectively. The energies for the set of CT states $P_L^+ P_M^-$, $P_L^+ B_L^-$, $P_M^+ B_L^-$, $P_L^+ H_L^-$, $P_M^+ H_L^-$, and those with L and M exchanged, are shown in Fig. 3. The monomer contribution, defined as IP-EA, is smallest for the CT state P_L⁺ H_L, indicating that the positive change is better localized on $P_{\rm L}$ than on $P_{\rm M}.$ The calculated electron affinity of $H_{\rm L}$ exceeds that of B_L by 0.62 eV. This is much more than found in solution (~0.3 eV) (Fajer et al. 1975). To test our calculation we simulated the molecules in solution by relaxing their structure and attaching water to Mg instead of the histidine. This way we could approximately reproduce the experimental value of the difference in electron affinities and the measured absorption spectrum of B_I in solution (Scherer 1990). So our calculations predict that the electron affinity of B_I is much smaller in the reaction center than in solution.

The influence of the Coulomb energy E_c (Eq. (1)) introduces a sizable contribution to the asymmetry of the two symmetry related CT states P_L^+ H_L^- and P_M^+ H_M^- with the first falling now below the latter by 0.44 eV. This is mainly due to the difference in the anion interaction of H_L^- or H_M^- with the respective ground state dipoles of P_L and P_M ($E_C(A^-, M)$ from (1)). The polarization E_p was evaluated with a super molecule approach, first for the hexamer (c), consisting just of the pigments P_L , P_M , P_L , P_M , P_L , P_M , P_L and P_M with the histidines attached to the Mg atoms. We then included the short range polarization effects P_L resulting from the neighboring residues and water molecules (d). The largest

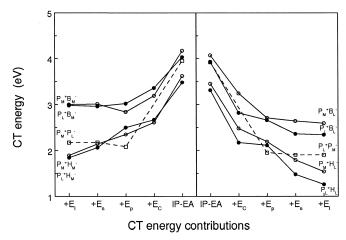


Fig. 3 The calculated energies of the charge transfer states are shown. Several contributions are presented separately. Ionisation potentials of the donor IP and electron affinities of the acceptor EA are estimated from the calculated MO energies of the isolated chromophores. The Coulomb energy E_c results from the corresponding electron densities of the MO's. The polarization contribution E_p is evaluated from a calculation including the six chromophores as a superundecule. Short range interactions E_s refer to the residues shown in Fig. 1 and are treated explicitly whereas long range electrostatic effects E_1 are treated in a continuum approximation

short range effect evolved for the anion H_I via GLU L104. The protein electrostatics (e) E₁ has been evaluated by means of the DelPhi-program solving the Poisson Boltzmann equation (Scherer et al. 1995). It lowers the CT state P_L^+ H_L^- so far that it falls below the P^* state. This way P H becomes energetically accessible for the photo-induced charge separation without activation. In fact it is the only CT state which fulfills this condition. The state P_M⁺H_M⁻ is higher by 0.4 eV and the state P_L^+ B_L^- is above P* by 0.7 eV (vertical energy difference). The internal CT states P_L^+ P_M^- , and P_M^+ P_L^- are always below P_L^+ B_L^- . This is easy to understand, since they experience a larger Coulomb attraction. For these states the Coulomb effect is shown only together with the hexamer polarization. The surroundings do not narrow the gap between P* and B_L B_L substantially. TYR M 208 reduces it only by 0.11 eV (Scherer et al. 1995; Alden et al. 1996) and a similar reduction was found for the water molecules (Scherer et al. 1995). Interestingly the energy of P_I⁺ B_I⁻ remained almost unaffected by the long range electrostatic interactions of the protein. This conclusion is in line with the results of Marchi et al. (1993). We could not reproduce certain results based on dynamics simulations by Warshel et al. (1995)¹, see also (Parson et al. 1990; Alden et al. 1995). Apparently they allowed for drastic changes in the structure. Following our theoretical prediction for the energy of P⁺ B_L⁻, we like to rule out this state as a real intermediate. Moreover, the electron transfer coupling between P* and P+ B_L is so small that a superex-

 $^{^1\,}$ A. Warshel kindly provided us with a protein conformation from his dynamics simulation which put the energy of $P^+\,B_L^-$ below that of $P^*.$ In this structure some residues were displaced by several Å and the Mg-HIS bond at P_L was interrupted by an H-atom.

change mechanism cannot be operative either. On the other hand, we will see that the trip-trip singlet P_L^T B_L^T can fulfill the basic requirements for the energetics and the couplings.

III The trip-trip singlet PT BLT

Double excited singlet states resulting from two locally excited triplets play a major role in biological photosystems. They are optically forbidden but can be populated via rapid internal conversion processes. In polyenes, they are assigned as A_g states. For larger chains their energy falls below that of the lowest optically active B_{1u} state (Hudson and Kohler 1972; Hudson and Kohler 1973). In biological systems the carotenoids make use of the rapid conversion process. A similar A_g state plays an important role in the primary isomerization of the retinal chromophore in bacteriorhodopsin (Schulten et al. 1995; Takeuchi and Tahara 1997). Another application is found in the isomerization of provitamin D (Sobolewski and Domcke 1994; Fuß et al. 1997).

We were recently able to assign a trip-trip singlet within the reaction center, there denoted as double triplet $P_L^T P_M^T$ (Scherer and Fischer 1997). It has been observed in the transient excitation spectrum of P^* (Wynne et al. 1996). It gets intensity mostly from the internal CT state $P_L^+ P_M^-$. Its energy location is 0.35 eV above P^* .

Instead of presenting detailed quantum calculations on the corresponding trip-trip singlet $P^T B_L^T$, we like to relate its energy to the experimentally detected trip-trip singlet $P_L^T P_M^T$ in a semiempirical way. Within the dimer the triplet energy is lower for P_L compared to P_M. This follows from the analysis of ADMR studies (Hoff and Vrieze 1996). The triplets of $P_{\rm M}$ and of $B_{\rm L}$ are according to our calculations similar in energy, so that the sums of the localized triplet energies for $P_L^T P_M^T$ and for $P_L^T B_L^T$ respectively, should be about the same. Their induced Coulomb energies, which result from changes in the charge distribution due to the trip-trip-singlet excitations, are very different. For P_M^T P_L^T we found a strong repulsion of 0.57 eV due to the charge shifts into the rings I from the rings III and due to the head to head arrangement of the dimer. For $P_L^T B_L^T$ we have a head-tail arrangement which results in a weak Coulomb attraction of -0.03 eV. The state $P_M^T B_L^T$ induces an even larger Coulomb attraction of -0.48 eV. This energy gain is overcompensated by the lower local triplet energy of P_L for the $P_L^T B_L^T$ state. Since for $P^T B_L^T$ the triplet component of P should be largely localized on P_L as it is for P^T alone (Hoff and Vrieze 1996) we predict the final energy of P^T B_L^T, which incorporates CI interaction, close to P*.

In Fig. 4 the calculated transient spectrum of the triptrip singlet P^T B_L^T is shown together with the ground state spectrum and experimental results (Dressler et al. 1990). They show the change in absorbance for the intermediate between P^* and P^+ H_L^- relative to the ground state absorption. The experimental spectrum applies to the two step transfer scheme, whereby the second step is the faster. The

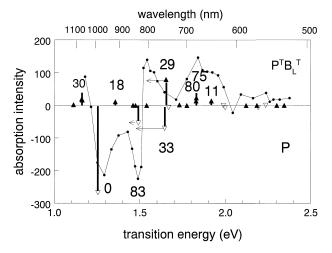


Fig. 4 The calculated transient difference sepctrum of P^T B_L^T (bars) is compared with experimental values (*circles*) from (Dressler et al. 1990). The *numbers* show the calculated polarization angles relative to the dimer band P*. The *horizontal arrows*, indicate the energy shifts needed for the experimental assignment

calculation is based on the tetramer $B_M \, P_L \, P_M \, B_L$ with the histidines attached to the Mg-atoms. Excitations on B_M are not incorporated. They should largely cancel out in the difference spectrum. In the ground state spectrum the strong dimer excitation P^* is predicted at 990 nm in quite good agreement with experiments. The next band polarized almost perpendicular (83°) to P^* (0°) is the so called upper dimer band. It is somewhat too high in energy in comparison with experiments and so is the B_L^* excitation, which has the polarization angle of 33°. The proper shifts to lower energies are indicated by horizontal arrows in Fig. 4.

The transient P^T B_L absorption shows a transition at 1060 nm, which correlates nicely with the observed transition at 1050 nm (Dressler et al. 1990; Zinth et al. 1996). Also its polarization of 30° is in line with the observed 28°. In our calculation it is composed of two accidentally degenerate transitions on the dimer. Both are difficult to assign orbital wise, since many CI components contribute. The situation is similar to the trip doublet of P⁺ (Fischer and Scherer 1997) where the charge is replaced by the triplet excitation on P_L. The next transition at 915 nm is localized on B_L. It should not be as broad as those on P, which have more charge transfer character. Therefore it should be worth while to search for such a state in this energy regime to test our model. The dominating strong transition at 750 nm is largely the P_M* excitation in the presence of the triplet on P_L. Together with the transition of B_L the main change in the sign of the observed spectrum around 800 nm can be explained once we allow for the shift of B_1^* and the upper dimer band, which are both experimentally justified. In addition a shift of the B_M excitation (not in the calculation) to higher energies is expected which might contribute to the amplitudes of the two components around 820 nm. Finally there are the two almost perpendicular polarized transitions at 680 nm followed by one localized on B_L at 665 nm with a small polarization angle of 11°. This

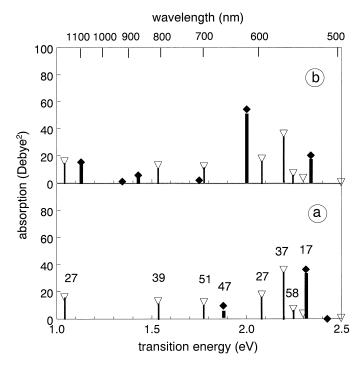


Fig. 5 a Calculated transient spectra for the CT state $P^+B_L^-$ based on the structure of *Rps. viridis*. The angles shown for the transitions of B_L^T and P^+ are relative to the dimer transition P^* . The spectra of P^+ (thin bars and diamonds) and B_L^- (thick bars and triangles) are calculated in the presence of the corresponding counter charge. They are superimposed. **b** The spectrum of P^+ (thin bars and diamonds) is superimposed with the spectrum calculated for a relaxed BChl- H_2O anion complex (thick bars and triangles)

is also consistent with the observation in this frequency regime. The transitions at 1050 nm and at 650 nm have been taken as evidence for the occurrence of B_L (Zinth et al. 1996a, b). We argue this coincidence might be in some parts accidental, since the spectrum of B_L in solution is not necessarily representative for the spectrum of the P⁺ B_L state in Rps. viridis. To prove this point, we evaluated the transient absorption spectrum of P^+ B_L^- (Fig. 5a) for the isolated pigments P and B_L in the presence of the counter charge. There is no transition localized on B_L around 1050 nm. Apparently the spectrum is shifted relative to the solution spectrum (Fajer et al. 1973) to higher energies. For a relaxed structure with a water molecule attached we do find the low energy transition consistent with earlier calculations (Scherer 1990) in close agreement with the solution experiments (Fajer et al. 1993) (Fig. 5b). We think a shift by 0.6 eV is significant and it is outside the uncertainties of the calculation and the structure.

For the coupling between P^* and P^T B_L^T we have only preliminary results. P^T B_L^T can be approximated by the localized state P_L^T B_L^T . It couples to the excitonic component of P^* which is localized on P_L and to the internal charge transfer states of the dimer. The dominant matrix element of the electron-electron interaction reads

$$V(P_L^T B_L^T, P_L^*) = \sqrt{\frac{2}{3}} (V_{P^*B, B^*P^*} - V_{PB, B^*P})$$
 (2)

We evaluated the relevant two center integrals with atomic Hartree-Fock wave functions and obtained for V as one major contribution 5 cm⁻¹, not so different from the one particle coupling for the $P^* \rightarrow P^+ B_L^-$ based on the INDO approximation (~10 cm⁻¹) (Fischer and Scherer 1987; Scherer and Fischer 1989 a, b). In (2) there are almost no interferences between the dominating atomic contributions. This makes the coupling competitive to the one particle coupling of P* to P+ B_L, which contains strong interferences. The $P^T B_L^T \rightarrow P^+ H_L^-$ coupling involves three center integrals which we cannot handle at this time sufficiently well. It must be efficient in order to assure the fast kinetics for the second step. The second rate process becomes in our model the first charge separating step describing the simultaneous shift of two electrons from P to B_L and from B_L to H_L respectively. This implies that modifications on P can affect this second rate process not only via changes in the oxidation potential of P, but also via a change in the coupling. A similar coupling might be responsible for the very rapid charge separation following a B_L* excitation, which can bypass P* as predicted by us (Fischer and Scherer 1987) and recently verified experimentally (Van Brederode et al. 1997) for a mutant.

IV Charge separation for mutants and modified reaction centers

As mentioned above the time dependent transient spectra for native reaction centers show two clearly separated kinetics for the formation of the charge separated state P⁺ H_I. In the spirit of the two step model (Zinth et al. 1996 a, b) the rate determining step $P^* \to P^+ \, B_L^-$ is now to be replaced by the $P^* \rightarrow P^T B_L^T$ transition followed by the faster step for the P⁺ H_I formation. To test the model it is informative to analyze the energetics of modified reaction centers and to test implications for the kinetics. Modifications or mutations can change the energetics of the charge transfer states P⁺ B_L⁻ and P⁺ H_L⁻ in three ways – via changes of the oxidation potential of P⁺ or via changes of the redox potentials of $P^+B_L^-$ or those of $P^+H_L^-$. The corresponding changes of the state $P^T B_L^T$ should be much smaller in all these cases, since energy differences between the LUMO's and HOMO's react less sensitively to such modifications than the energies themselves.

Within the first group two double mutants are of particular interest. For L 151 L \rightarrow H + M 160 L \rightarrow H (Woodbury et al. 1994) two additional hydrogen bonds are introduced for the dimer, which raises the oxidation potential by 0.14 eV. The double mutant M 202 H \rightarrow L + L 131 L \rightarrow H (Laporte et al. 1996) introduces a bacteriopheophytin in place of $P_{\rm M}$ and adds a hydrogen bond on the keto group of $P_{\rm L}$. It raises the oxidation potential by 0.26 eV. For these systems the charge separation process is still operative and an intermediate seems to evolve on the 4 ps time scale better detected for the first double mutant, which has signatures similar to the transient of P* (Woodbury et al. 1994). The final charge separation is weakly acti-

vated. The common model needs to invoke for these systems a super-exchange matrix element for the coupling, which should contain a reduction factor of three orders of magnitude in this rate process compared to the two step process. There would be no explanation for an intermediate. This is not compatible with the observation. Within our model we could allow for a small up shift of the energy of the P^T B_L^T state and keep it still as a real intermediate. Its transient spectrum can become consistent with the observed spectral changes once the rapid bypass process (Fischer and Scherer 1987; Scherer and Fischer 1989 a, b; Van Brederode 1997) is incorporated for a B_L^{*} excitation.

Reduction of the oxidation potential by 0.08 eV has been achieved for the L168 H \rightarrow F mutant for Rps viridis (Arlt et al. 1996). This change led to a threefold increase of the rate determining step, which enhances the population of the intermediate. To us it seems difficult to envision such an increase in the P* \rightarrow P⁺ B_L⁻ transition since that coupling is localized in the region of closest approach between P and B_L. Our new coupling mechanism becomes sensitive however to vibronically induced couplings of the acetyl group of P_L, since this modifies the internal CT character of P*.

The variations in the kinetics caused by strong modifications of the redox potential of P+ B_L are also difficult to envision within the common model. The replacement of B_L by a bacteriopheophytin (Zinth et al. 1996 a, b) should lower the redox potential by at least 0.3 eV (Fajer et al. 1975). We predict an even larger effect due to structural relaxation. This value is larger than the full energy difference between P* and P+ H_L, so that P+ B_L (BPheo) should form a trap. The same should apply for the Ni replacement (Häberle et al. 1996) of Mg on B_L. A change of the redox potential of 0.29 eV is expected on the basis of measurements in solution. Within our model in both cases only minor changes of the energy of the P^T **B**_L^T state are predicted which is consistent with the observation of an almost identical decay kinetics for P* (Häberle et al. 1996). For the recombination the state P⁺ B_L (BPheo) might play in our model the same role of an equilibrated state as postulated in the literature (Zinth et al. 1996 a, b), since we would predict its energy location close to that of P^+ H_L^- .

Heterodimers are mutations which can affect the energetics of the $P^TB_L^T$ state in a well defined way (McDowell et al. 1991). Since the triplet energy of bacteriopheophytin is higher than that of bacteriochlorophyll and since the triplet of P^T is localized on P_L , we predict a stronger increase of the energy $P^TB_L^T$ for the L heterodimer as compared to the M heterodimer. This is also consistent with the observations (McDowell et al. 1991). The change of the redox potential of $P^+H_L^-$ by the replacement of H_L by a pheophytin for Rb. sphaeroides (Schmidt et al. 1995) does not require a new interpretation as long as the $P^+B_L^-$ state is replaced by our $P^TB_L^T$ state. In analogy we would conclude that the triptrip-singlet should be about 450 cm⁻¹ below P^* .

For many other mutations such as the 3 vinyl – 13^2 OH bacteriochlorophyll substitution of B_L (Finkele et al. 1992;

Nagarajan et al. 1990; Finkele et al. 1990; Shochat et al. 1994) the variation of the energetics of P^+ B_L^- and P^T B_L^T should change in the same direction even though different couplings are responsible.

V Summary

Our newly introduced intermediate trip-trip singlet P^T B_L may bring us to a better understanding of the very special features of the reaction center. Within this model, we argue that the real trick for the photo-induced charge separation accomplished in the evolution process by the construction of the reaction center is the rapid delocalization of the excitation energy of P* over the dimer and the monomer in form of the trip-trip singlet P^T B_L^T. The formation of the charge separated state P+ H_L avoids the appearance of a radical pair between neighboring molecules. This way the recombination is suppressed and the Coulomb attraction between the newly born radical pair is already strongly shielded by the intermediate B_L. Moreover, this mechanism avoids large nuclear reorganization for the initial step, since the state P^T B_L undergoes no strong dipole change with respect to P*. For P+ H_L the Coulomb interaction is sufficiently shielded. We have shown that the detailed engineering makes use of the electrostatics, most importantly that between the prosthetic groups. The unidirectionality is partly caused by the surroundings but mostly by the asymmetry within the dimer which localizes the triplet and to some extent also the positive charge on P_L.

An additional way to test the model might come from the magnetic field effects. The couplings for the triplet recombination are altered in this model and different predictions result for the Ni mutant (Häberle et al. 1996) within the two models. In particular the superexchange coupling for recombination of the radial pair P^+ H_L^- to the triplet P^T should be differently affected.

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